A Proposal for a New Block Encryption Standard

Xuejia Lai and James L. Massey

Institute for Signal and Information Processing Swiss Federal Institute of Technology CH-8092 Zürich, Switzerland

Abstract

A new secret-key block cipher is proposed as a candidate for a new encryption standard. In the proposed cipher, the plaintext and the ciphertext are 64 bit blocks, while the secret key is 128 bit long. The cipher is based on the design concept of "mixing operations from different algebraic groups". The cipher structure was chosen to provide confusion and diffusion and to facilitate both hardware and software implementations.

1 Introduction

A new secret-key block cipher is proposed herein as a candidate for a new encryption standard. In the proposed cipher, the plaintext and the ciphertext are 64 bit blocks, while the secret key is 128 bit long. The cipher is based on the design concept of "mixing operations from different algebraic groups". The required confusion is achieved by successively using three different group operations on pairs of 16-bit subblocks and the cipher structure was chosen to provide the necessary diffusion. The cipher is so constructed that the deciphering process is the same as the enciphering process once the decryption key subblocks have been computed from the encryption key subblocks. The cipher structure was chosen to facilitate both hardware and software implementations.

The cipher is described in Section 2. Section 3 considers the relation of the three chosen different operations to one another and the effect of their "mixing". The design principles for the cipher are discussed in Section 4. Section 5 discusses

the implementation of the cipher in software as well as in hardware. A C-language program of the cipher is given in Appendix B together with examples that can be used to test the correctness of implementations.

2 Description of the Proposed Cipher

The computational graph of the encryption process is shown in Fig.1. The process consists of 8 similar rounds followed by an output transformation. The complete first round and the output transformation are depicted in Fig.1.

In the encryption process shown in Fig.1, three different group operations on pairs of 16-bit subblocks are used, namely,

- bit-by-bit exclusive-OR of two 16-bit subblocks, denoted as ⊕;
- addition of integers modulo 2^{16} where the subblock is treated as the usual radix-two representation of an integer, the resulting operation is denoted as \boxminus ;
- multiplication of integers modulo $2^{16} + 1$ where the subblock is treated as the usual radix-two representation of an integer except that the all-zero subblock is treated as representing 2^{16} , and the resulting operation is denoted as \odot .

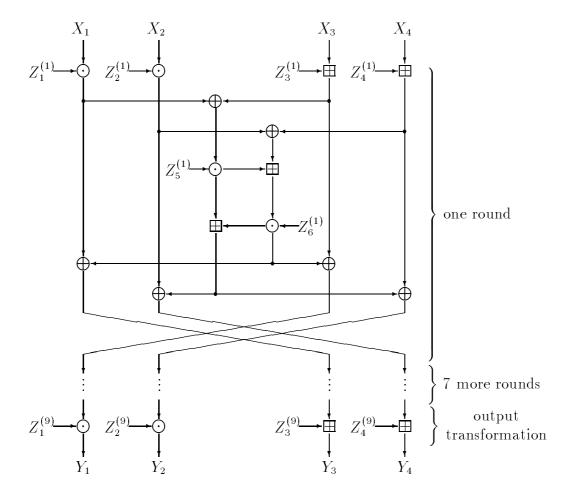
For example,

$$(0,...,0)\bigcirc(1,0,...,0) = (1,0,...,0,1)$$

because

$$2^{16}2^{15} \mod (2^{16} + 1) = 2^{15} + 1.$$

The 64-bit plaintext block is partitioned into four 16-bit subblocks, the i-th of which is denoted as X_i in Fig.1. The four plaintext subblocks are then transformed into four 16-bit ciphertext subblocks, Y_1, Y_2, Y_3 and Y_4 , under the control of 52 key subblocks of 16 bits, where the six key subblocks used in the r-th (r=1,...,8) round are denoted as $Z_1^{(r)}, ..., Z_6^{(r)}$ and where the four key subblocks used in the output transformation are denoted as $Z_1^{(9)}, Z_2^{(9)}, Z_3^{(9)}, Z_4^{(9)}$.



 X_i : 16-bit plaintext subblock Y_i : 16-bit ciphertext subblock

 $Z_i^{(r)}:$ 16-bit key subblock

⊕: bit-by-bit exclusive-OR of 16-bit subblocks
⊞: addition modulo 2¹⁶ of 16-bit integers

 \odot : multiplication modulo $2^{16} + 1$ of 16-bit integers with the zero subblock corresponding to 2^{16}

Figure 1: Computational graph for encryption.

Decryption

The computational graph of the decryption process is essentially the same as that of the encryption process, the only change being that the decryption key subblocks are computed from the encryption key subblocks as shown in the following table, where Z^{-1} denotes the multiplicative inverse (modulo $2^{16} + 1$) of Z, i.e., $Z \odot Z^{-1} = 1$ and -Z denotes the additive inverse (modulo 2^{16}) of Z, i.e., $-Z \boxplus Z = 0$.

Encryption key subblocks

Decryption key subblocks

1-st	$Z_1^{(1)}Z_2^{(1)}Z_3^{(1)}Z_4^{(1)}$	1-st	$ Z_1^{(9)^{-1}} Z_2^{(9)^{-1}} - Z_3^{(9)} - Z_4^{(9)} $
round	$Z_5^{(1)}Z_6^{(1)}$	round	$Z_5^{(8)}Z_6^{(8)}$
2-nd	$Z_1^{(2)}Z_2^{(2)}Z_3^{(2)}Z_4^{(2)}$	2-nd	$Z_1^{(8)^{-1}}Z_2^{(8)^{-1}} - Z_3^{(8)} - Z_4^{(8)}$
round	$Z_5^{(2)}Z_6^{(2)}$	round	$ Z_5^{(7)} Z_6^{(7)} $ $ Z_1^{(7)^{-1}} Z_2^{(7)^{-1}} - Z_3^{(7)} - Z_4^{(7)} $
3-rd	$Z_1^{(3)}Z_2^{(3)}Z_3^{(3)}Z_4^{(3)}$	3-rd	
round	$Z_5^{(3)}Z_6^{(3)}$	round	$ Z_5^{(6)} Z_6^{(6)} $ $ Z_1^{(6)^{-1}} Z_2^{(6)^{-1}} - Z_3^{(6)} - Z_4^{(6)} $
4-th	$Z_1^{(4)}Z_2^{(4)}Z_3^{(4)}Z_4^{(4)}$	4-th	$Z_1^{(6)^{-1}}Z_2^{(6)^{-1}} - Z_3^{(6)} - Z_4^{(6)}$
round	$Z_5^{(4)}Z_6^{(4)}$	round	$ Z_5^{(5)} Z_6^{(5)} $ $ Z_1^{(5)^{-1}} Z_2^{(5)^{-1}} - Z_3^{(5)} - Z_4^{(5)} $
5-th	$Z_1^{(5)}Z_2^{(5)}Z_3^{(5)}Z_4^{(5)}$	5-th	$Z_1^{(5)^{-1}}Z_2^{(5)^{-1}} - Z_3^{(5)} - Z_4^{(5)}$
round	$Z_5^{(5)}Z_6^{(5)}$	round	$Z_5^{(4)}Z_6^{(4)}$
6-th	$Z_1^{(6)}Z_2^{(6)}Z_3^{(6)}Z_4^{(6)}$	6-th	$ Z_5^{(4)} Z_6^{(4)} $ $ Z_1^{(4)^{-1}} Z_2^{(4)^{-1}} - Z_3^{(4)} - Z_4^{(4)} $
round	$Z_5^{(6)}Z_6^{(6)}$	round	$Z_5^{(3)}Z_6^{(3)}$
7-th	$Z_1^{(7)}Z_2^{(7)}Z_3^{(7)}Z_4^{(7)}$	7-th	$ Z_5^{(3)} Z_6^{(3)} $ $ Z_1^{(3)^{-1}} Z_2^{(3)^{-1}} - Z_3^{(3)} - Z_4^{(3)} $
round	$Z_5^{(7)}Z_6^{(7)}$	round	$Z_5^{(2)}Z_6^{(2)}$
8-th	$Z_1^{(8)}Z_2^{(8)}Z_3^{(8)}Z_4^{(8)}$	8-th	$ Z_5^{(2)} Z_6^{(2)} $ $ Z_1^{(2)^{-1}} Z_2^{(2)^{-1}} - Z_3^{(2)} - Z_4^{(2)} $
round	$Z_5^{(8)}Z_6^{(8)}$	round	$ Z_5^{(1)} Z_6^{(1)} $ $ Z_1^{(1)^{-1}} Z_2^{(1)^{-1}} - Z_3^{(1)} - Z_4^{(1)} $
output	$Z_1^{(9)}Z_2^{(9)}Z_3^{(9)}Z_4^{(9)}$	output	$ Z_1^{(1)^{-1}} Z_2^{(1)^{-1}} - Z_3^{(1)} - Z_4^{(1)} $
transform.		transform.	

The key schedule

The 52 key subblocks used in the encryption process are generated from the 128 bit user-selected key as follows:

The 128 bit user-selected key is partitioned into 8 subblocks that are directly used as the first eight key subblocks, where the ordering of key subblocks is as follows: $Z_1^{(1)}, Z_2^{(1)}, ..., Z_6^{(1)}, Z_1^{(2)}, ..., Z_6^{(2)}, ..., Z_6^{(8)}, Z_1^{(9)}, Z_2^{(9)}, Z_3^{(9)}, Z_4^{(9)}$.

The 128 bit user-selected key is then cyclic shifted to the left by 25 positions, after which the resulting 128 bit block is again partitioned into eight subblocks that are

taken as the next eight key subblocks. The obtained 128 bit block is cyclic shifted again to the left by 25 positions to produce the next eight key subblocks, and this procedure is repeated until all 52 key subblocks have been generated.

3 Group Operations and Their Interaction

The cipher is based on the design concept of "mixing operations from different algebraic groups having the same number of elements". Group operations were chosen because the statistical relation of random variables X, Y, Z related by a group operation as Y = X*Z has the desired "perfect secrecy" property, i.e., if one of the random variables is chosen equally likely to be any group element, then the other two random variables are statistically independent. The interaction of different group operations contributes to the "confusion" required for a secure cipher. In this section, the interaction of different operations will be considered in terms of isotopism of quasigroups and in terms of polynomial expressions.

The three operations as quasigroup operations

Def. Let S be a set and let * denote an operation from pairs (a,b) of elements of S to an element a*b of S. Then (S,*) is said to be an quasigroup if, for any $a,b \in S$, the equations a*x = b and y*a = b both have exactly one solution in S. A group is a quasigroup in which the operation is associative, i.e., for which a*(b*c) = (a*b)*c for all a,b and c in S. Quasigroups $(S_1,*_1), (S_2,*_2)$ are said to be isotopic if there are bijective mappings $\theta, \phi, \psi : S_1 \to S_2$, such that, for all $x,y \in S_1, \theta(x)*_2\phi(y) = \psi(x*_1y)$. Such a triple (θ,ϕ,ψ) is then called an isotopism of $(S_1,*_1)$ upon $(S_2,*_2)$. Two groups are said to be isomorphic if they are isotopic as quasigroups for which the isotopism is (θ,θ,θ) .

It can be shown that two groups are isomorphic if and only if they are isotopic [3].

Let n be one of the integers 1,2,4,8 or 16 so that the integer $2^n + 1$ is a prime, and let Z_{2^n} denote the ring of integers modulo 2^n . Let $(Z_{2^n+1}^*,\cdot)$ denote the multiplicative group of the non-zero elements of the field Z_{2^n+1} , let $(Z_{2^n},+)$ denote the additive group of the ring Z_{2^n} , and let (F_2^n, \bigoplus) denote the group of n-tuples over F_2 under the bitwise exclusive-or operation. Then the following theorem states some of the "incompatibility" properties of these groups.

Theorem 1 For $n \in \{1, 2, 4, 8, 16\}$:

- 1) Quasigroups (F_2^n, \bigoplus) and $(Z_{2^n}, +)$ are not isotopic for $n \geq 2$.
- 2) Quasigroups $(Z_{2^n+1}^*, \cdot)$ and (F_2^n, \bigoplus) are not isotopic for $n \geq 2$.
- 3) (θ, ϕ, ψ) is an isotopism of $(Z_{2^n+1}^*, \cdot)$ upon $(Z_{2^n}, +)$ if and only if there exist constants $c_1, c_2 \in Z_{2^n}$ and a primitive element a of the field $Z_{2^n+1}^*$ such that, for all x in

 Z_{2^n} ,

$$\theta(x) - c_1 = \phi(x) - c_2 = \psi(x) - (c_1 + c_2) = \log_a(x), \tag{1}$$

i.e., any isotopism between these groups is essentially the logarithm. Moreover, if (θ, ϕ, ψ) is an isotopism, none of these maps will be the "mixing mapping" m from $Z_{2^n+1}^*$ to Z_{2^n} defined by m(i) = i, for $i \neq 2^n$ and $m(2^n) = 0$ when $n \geq 2$.

Proof.

- 1) For $n \geq 2$, the groups (F_2^n, \bigoplus) and $(Z_{2^n}, +)$ are not isomorphic because $(Z_{2^n}, +)$ is a cyclic group while (F_2^n, \bigoplus) is not. Thus, they are not isotopic as quasigroups.
- 2) $(Z_{2^n+1}^*, \cdot)$ and $(Z_{2^n}, +)$ are isomorphic groups for n = 1, 2, 4, 8, 16 because both groups are cyclic. Thus, $(Z_{2^n+1}^*, \cdot)$ is isotopic to (F_2^n, \bigoplus) if and only if $(Z_{2^n}, +)$ is isotopic to (F_2^n, \bigoplus) .
- 3) If (1) holds, then for any x, y in Z_{2^n+1} ,

$$\psi(x \cdot y) = \log_a(x \cdot y) + c_1 + c_2 = \log_a(x) + \log_a(y) + c_1 + c_2 = \theta(x) + \phi(y).$$

Now suppose that (θ, ϕ, ψ) is an isotopism. Then for all $x, y \in Z_{2^n+1}^*$, $\theta(x) + \phi(y) = \psi(x \cdot y)$. Let $\theta_1(x) = \theta(x) - \theta(1)$, $\phi_1(x) = \phi(x) - \phi(1)$ and $\psi_1(x) = \psi(x) - \psi(1)$, then $(\theta_1, \phi_1, \psi_1)$ is also an isotopism and $\psi_1(1) = \theta_1(1) = \phi_1(1) = 0$. In the equation $\theta_1(x) + \phi_1(y) = \psi_1(x \cdot y)$, setting first x and then y to 1 results in $\theta_1(y) = \phi_1(y) = \psi_1(y)$ so that $\psi_1(x \cdot y) = \psi_1(x) + \psi_1(y)$. Let a be the element of $Z_{2^n+1}^*$ such that $\psi_1(a) = 1$, then $\psi_1(a^i) = i$ for $i = 1, 2, ...2^n - 1$ and $\psi_1(a^{2^n}) = 0$. This implies that a is a primitive element of Z_{2^n+1} . Thus, for each $x \in Z_{2^n+1}^*$, there exists a $t \in Z_{2^n}$ such that,

$$\psi_1(x) = \psi_1(a^t) = t = t \log_a(a) = \log_a(a^t) = \log_a(x).$$

Letting $c_1 = \theta(1), c_2 = \phi(1)$, we arrive at equation (1).

Finally, suppose that the mixing mapping m is an isotopism. Then $m(x) = \log_a(x) + c$ implies $1 = m(1) = \log_a(1) + c = c$, $2 = m(2) = \log_a(2) + 1$, which implies that a = 2, and $0 = m(2^n) = \log_2(2^n) + 1 = n + 1$, which implies that n=1.

Polynomial expressions for multiplication and addition

Under the mixing mapping m, multiplication modulo $2^n + 1$, which is a bilinear function over the field Z_{2^n+1} , is a two variable function over the ring Z_{2^n} , which we denote by $x \odot y$. Similarly, under the inverse mixing mapping m^{-1} , addition modulo 2^n , which is an affine function in each argument over the ring Z_{2^n} , is a two variable function over the field Z_{2^n+1} , which we denote by F(X,Y). Here and hereafter in this section, we denote arguments with lower-case letters when we consider them to be elements of Z_{2^n} and with upper-case letters when we consider them to be elements of Z_{2^n+1} . For example, when n=1, we have

$$x + y \mod 2 \longleftrightarrow F(X, Y) = 2XY \mod 3,$$

$$XY \mod 3 \longleftrightarrow x \bigcirc y = x + y + 1 \mod 2.$$

Theorem 2 For $n \in \{2, 4, 8, 16\}$:

1. For any fixed $X \neq 2^n$ (i.e., $x \neq 0$), the function F(X,Y), corresponding to addition $x + y \mod 2^n$ in Z_{2^n} , is a polynomial in Y over Z_{2^n+1} with degree $2^n - 1$. Similarly, for any fixed $Y \neq 2^n$, F(X,Y) is a polynomial in X over Z_{2^n+1} with degree $2^n - 1$.

2. For any fixed $x \neq 0, 1$ (i.e., $X \neq 2^n, 1$), the function $x \oplus y$, corresponding to multiplication $XY \mod 2^n + 1$ in Z_{2^n+1} cannot be written as a polynomial in y over Z_{2^n} . Similarly, for any fixed $y \neq 0, 1$, $x \oplus y$ is not a polynomial in x over Z_{2^n} .

Example 1

For n = 2, in \mathbb{Z}_5 , the function F(X,Y) corresponding to $x + y \mod 4$ is

$$F(X,Y) = 3(X^{3}Y^{2} + X^{2}Y^{3}) + 3(X^{3}Y + XY^{3}) + 2X^{2}Y^{2} + 4(X^{2}Y + XY^{2}).$$

Proof.

1. In any finite field GF(q), we have

$$\prod_{\substack{j \neq i, \alpha_j \neq 0}} (x - \alpha_j)(-\alpha_i) = \begin{cases} \prod_{\substack{j (j \neq i), \alpha_j \neq 0}} (\alpha_i - \alpha_j)(-\alpha_i) = -\prod_{\alpha_i \neq 0} \alpha_i = 1 & x = \alpha_i \\ 0 & x \neq \alpha_i, 0. \end{cases}$$

Thus, every function f(x) from the set $GF(q) - \{0\}$ to $GF(q) - \{0\}$ can be written as a polynomial over GF(q) of degree at most q-2 as follows:

$$f(x) = \sum_{\alpha_i \in GF(q) - \{0\}} f(\alpha_i) \prod_{\alpha_j \neq \alpha_i, 0} (x - \alpha_j) (-\alpha_i).$$
 (2)

The function F(A, X) corresponding to $a+x \mod 2^n$ is a function from $GF(2^n+1)-\{0\}$ to $GF(2^n+1)-\{0\}$ in X. According to (2), this function can be written as

$$F(A,X) = \begin{cases} A+X & 1 \le X \le 2^n - A \\ A+X+1 & 2^n - A < X \le 2^n \end{cases}$$

$$= \sum_{I=1}^{2^n-A} (A+I) \prod_{\substack{J \ne I \\ 1 \le J \le 2^n}} (X-J)(-I) + \sum_{I=2^n-A+1}^{2^n} (A+I+1) \prod_{\substack{J \ne I \\ 1 \le J \le 2^n}} (X-J)(-I)$$

$$= \sum_{I=1}^{2^n} (A+I) \prod_{\substack{J \ne I \\ 1 < J < 2^n}} (X-J)(-I) + \sum_{I=2^n-A+1}^{2^n} \prod_{\substack{J \ne I \\ 1 < J < 2^n}} (X-J)(-I).$$

The coefficient of $X^{2^{n-1}}$ in F(A, X) is

$$\sum_{I=1}^{2^{n}} (A+I)(-I) + \sum_{I=2^{n}-A+1}^{2^{n}} (-I) = -A \sum_{I=1}^{2^{n}} I - \sum_{I=1}^{2^{n}} I^{2} + \sum_{I=-A}^{-1} (-I)$$

$$= \sum_{I=1}^{A} I = \frac{A(A+1)}{2},$$

which is zero if and only if A = 0 or $A = -1 = 2^n$, which cases are excluded by the assumption. Thus, $\deg F(X, A) = 2^n - 1$.

2. We show first the following lemma:

Lemma 1 If f(x) is a polynomial over Z_{2^n} , then for all $x \in Z_{2^n}$,

$$f(2x) \mod 2 = f(0) \mod 2.$$

Proof. Let $f(x) = a_k x^k + a_{k-1} x^{k-1} + \dots + a_1 x + a_0$. Then for all $x \in \mathbb{Z}_{2^n}$,

$$f(2x) = a_k(2x)^k + a_{k-1}(2x)^{k-1} + \dots + a_12x + a_0.$$

Taking both sides of this equation modulo 2^n , we have $f(2x) = 2e + a_0 \mod 2^n$, where e is an element in \mathbb{Z}_{2^n} . Thus, $f(2x) \mod 2 = a_0 \mod 2 = f(0) \mod 2$.

Now let n > 1, then for every integer $a, 1 < a < 2^n$, there exists an integer $x_0 \in \{1, 2, \ldots, 2^n\}$ such that $2^n + 1 < 2ax_0 < 2(2^n + 1)$ and $0 \le 2a(x_0 - 1) < 2^n + 1$. The first inequality is equivalent to that $0 < 2ax_0 - (2^n + 1) < 2^n + 1$ and $2ax_0 - (2^n + 1)$ is an odd integer. Hence the function $f_a(x) = a \odot x$ corresponding to $AX \mod (2^n + 1)$ satisfies

$$f_a(2x_0) \mod 2 = (2ax_0 - (2^n + 1)) \mod 2 = 1.$$

On the other hand, the inequality $0 \le 2a(x_0 - 1) < 2^n + 1$ implies that $2a(x_0 - 1)$ is an even integer in $\{0, 1, ..., 2^n\}$ so that

$$f_a(2(x_0-1)) \mod 2 = 2a(x_0-1) \mod 2 = 0.$$

By the Lemma, $f_a(x)$ is not a polynomial over Z_{2^n} .

4 Design Principles for the Proposed Cipher

Confusion

Confusion (see [1, 2]) means that the ciphertext depends on the plaintext and key in a complicated and involved way.

The confusion is achieved by mixing three different group operations. In the computational graph of the encryption process, the three different group operations are so arranged that the output of an operation of one type is **never** used as the input to an operation of the same type.

The three operations are incompatible in the sense that:

1. No pair of the 3 operations satisfies a distributive law. For example,

$$a \coprod (b \odot c) \neq (a \coprod b) \odot (a \coprod c).$$

2. No pair of the 3 operations satisfies an associative law. For example,

$$a \coprod (b \bigoplus c) \neq (a \coprod b) \bigoplus c.$$

- 3. The 3 operations are combined by the mixing mapping m, which inhibits isotopisms as was shown in Theorem 1. Thus, using any bijections on the operands, it is impossible to realize any one of the three operations by another operation.
- 4. Under the mixing mapping, multiplication modulo $2^{16} + 1$, which is a bilinear function over $Z_{2^{16}+1}$, corresponds a non-polynomial function over $Z_{2^{16}}$; Under the inverse mixing mapping, addition modulo 2^{16} , which is an affine function in each argument over $Z_{2^{16}}$, corresponds a two variable polynomial of degree $2^{16} 1$ in each variable over $Z_{2^{16}+1}$.

Diffusion

The diffusion requirement on a cipher is that each plaintext bit should influence every ciphertext bit and each key bit should influence every ciphertext bit (see [1, 2]).

For the proposed cipher, a check by computer has shown that the diffusion requirement is satisfied after the first round, i.e., each output bit of the first round depends on every bit of the plaintext and on every bit of the key used for that round.

Diffusion is provided by the transformation called the multiplication-addition (MA) structure. The computational graph of the MA structure is shown in Fig.2. The MA structure transforms two 16 bit subblocks into two 16 bit subblocks controlled by two 16 bit key subblocks. This structure has the following properties:

- for any choice of the key subblocks Z_5 and Z_6 , $MA(\cdot, \cdot, Z_5, Z_6)$ is an invertible transformation; for any choice of U_1 and U_2 , $MA(U_1, U_2, \cdot, \cdot)$ is also an invertible transformation;
- this structure has a "complete diffusion" effect in the sense that each output subblock depends on every input subblock, and
- this structure uses the least number of operations (four) required to achieve such complete diffusion (see Appendix A for the proof).

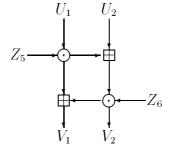


Figure 2: Computational graph of the MA structure.

Similarity of encryption and decryption

The *similarity* of encryption and decryption means that decryption is essentially the same process as encryption, the only difference being that different key subblocks are used. This similarity results from

- using the output transformation in the encryption process so that the effect of (Z_1, Z_2, Z_3, Z_4) can be cancelled by using inverse key subblocks $(Z_1^{-1}, Z_2^{-1}, -Z_3, -Z_4)$ in the decryption process,

and from

- using an involution (i.e., a self-inverse function) with a 64 bit input and a 64 bit output controlled by a 32 bit key within the cipher. The involution used in the cipher is shown in Fig.3. The self-inverse property is a consequence of the fact that the exclusive-OR of (S_1, S_2) and (S_3, S_4) is equal to the exclusive-OR of (T_1, T_2) and (T_3, T_4) ; Thus, the input to the MA structure in Fig.3 is unchanged when S_1 , S_2 , S_3 and S_4 are replaced by T_1 , T_2 , T_3 and T_4 . Thus, if T_1, T_2, T_3 and T_4 are the inputs to the involution, the left half of the output is

$$(T_3, T_4) \bigoplus MA((T_1, T_2) \bigoplus (T_3, T_4), Z_5, Z_6)$$

$$= (S_1, S_2) \bigoplus MA((S_1, S_2) \bigoplus (S_3, S_4), Z_5, Z_6) \bigoplus MA((S_1, S_2) \bigoplus (S_3, S_4), Z_5, Z_6)$$

$$= (S_1, S_2).$$

Similarly, the right half of the output is (S_3, S_4) .

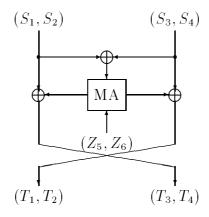


Figure 3: Computational graph of the involution.

Perfect secrecy for a "one-time" key

Perfect secrecy in the sense of Shannon is obtained in each round of encryption if a "one-time" key is used. In fact, such perfect secrecy is achieved at the input transformation in the first round because each operation is a group operation. In addition,

for every choice of (p_1, p_2, p_3, p_4) and of (q_1, q_2, q_3, q_4) in F_2^{64} , there are exactly 2^{32} different choices of the key subblocks $(Z_1, ..., Z_6)$ such that the first round of the cipher transforms (p_1, p_2, p_3, p_4) into (q_1, q_2, q_3, q_4) .

5 Implementations of the Cipher

The cipher structure was chosen to facilitate both hardware and software implementations. In the encryption process, a regular modular structure was chosen so that the cipher can be easily implemented in hardware. A VLSI implementation of the cipher is being carried out at the Integrated Systems Laboratory of the ETH, Zürich. The estimated data rate of this chip varies from 45 Mbits per second to 115 Mbits per second, depending on the complexity of the architecture chosen.

The cipher can also be easily implemented in software because only operations on pairs of 16-bit subblocks are used in the encryption process.

The most difficult part in the implementation, multiplication modulo $(2^{16} + 1)$, can be implemented in the way suggested by the following lemma.

Lemma 2 Let a, b be two n-bit non-zero integers in \mathbb{Z}_{2^n+1} , then

$$ab\ mod\ (2^n+1) = \left\{ \begin{array}{ll} (ab\ mod\ 2^n) - (ab\ div\ 2^n) & if\ (ab\ mod\ 2^n) \geq (ab\ div\ 2^n) \\ (ab\ mod\ 2^n) - (ab\ div\ 2^n) + 2^n + 1 & if\ (ab\ mod\ 2^n) < (ab\ div\ 2^n) \end{array} \right.$$

where (ab div 2^n) denotes the quotient when ab is divided by 2^n .

Note that $(ab \mod 2^n)$ corresponds to the n least significant bits of ab, and $(ab \operatorname{div} 2^n)$ is just the right-shift of ab by n bits. Note also that $(ab \mod 2^n) = (ab \operatorname{div} 2^n)$ implies that $ab \mod (2^n + 1) = 0$ and hence cannot occur when $2^n + 1$ is a prime.

Proof. For any non-zero a and b in Z_{2^n+1} , there exist unique integers q and r such that

$$ab = q(2^{n} + 1) + r,$$
 $0 \le r < 2^{n} + 1, \ 0 \le q < 2^{n}.$

Moreover, $q + r < 2^{n+1}$. Note that $r = ab \mod (2^n + 1)$. We have

$$(ab \operatorname{div} 2^n) = \begin{cases} q & \text{if } q + r < 2^n \\ q + 1 & \text{if } q + r \ge 2^n \end{cases}$$

and

$$(ab \mod 2^n) = \begin{cases} q+r & \text{if } q+r < 2^n \\ q+r-2^n & \text{if } q+r \ge 2^n. \end{cases}$$

Thus

$$r = \begin{cases} (ab \mod 2^n) - (ab \operatorname{div} 2^n) & \text{if } q + r < 2^n \\ (ab \mod 2^n) - (ab \operatorname{div} 2^n) + 2^n + 1 & \text{if } q + r \ge 2^n. \end{cases}$$

But $q + r < 2^n$ if and only if $(ab \mod 2^n) \ge (ab \operatorname{div} 2^n)$. This proves the Lemma.

A C-program of the cipher together with some examples for checking the correctness of the implementation are given in Appendix B.

6 Conclusion

The cipher described above is proposed as a possible candidate for a new encryption standard. The cipher is based on the design concept of "mixing 3 different group operations" to achieve the required confusion and diffusion. Confusion is achieved by arranging the operations in a way that no pair of successive operations are of the same type and by the fact that operations of different types are incompatible. The structure of the cipher is so chosen that diffusion can be achieved using a small number of operations.

Enciphering and deciphering are essentially the same process, but with different key subblocks. Because of the use of 16-bit operations and a regular modular structure, the cipher can be implemented efficiently in both hardware and software. In particular, bit-level permutations are avoided in the encryption process because such permutations are difficult to implement in software.

In all of the statistical testings conducted up to now, we have not found any significant difference between the permutation of F_2^{64} determined by the cipher with a randomly chosen key and a permutation equiprobably chosen from all possible permutations of F_2^{64} .

The security of the proposed cipher needs further intensive investigation. The authors hereby invite interested parties to attack this proposed cipher and will be grateful to receive the results (positive or negative) of any such attacks.

References

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Appendix A: Number of Operations Required for Diffusion

An operation is a mapping from two variables to one variable. A computational graph is a directed graph in which the vertices are operations, the edges entering a vertex are the inputs to the operation, the edges leaving a vertex are the outputs of the operation, the edges entering no vertex are the graph outputs, and the edges leaving no vertex are the graph inputs. An algorithm to compute a function determines a computational graph where the graph inputs are the inputs of the algorithm and the graph outputs are the outputs of the algorithm.

Consider a function having the form

$$(Y_1, Y_2) = E(X_1, X_2, Z_1, Z_2), X_i, Y_i \in F_2^m, Z_i \in F_2^k$$
 (3)

such that, for every choice of (Z_1, Z_2) , $E(\cdot, \cdot, Z_1, Z_2)$ is invertible. Such a function will be called a *cipher function*. A cipher function is said to have *complete diffusion* if each of its output variable depends non-idly on every input variable.

Theorem 3 If a cipher function of the form (3) has complete diffusion, then the computational graph of any algorithm that computes the function contains at least 4 operations.

Proof. Let $Y_1 = E_1(X_1, X_2, Z_1, Z_2)$, and $Y_2 = E_2(X_1, X_2, Z_1, Z_2)$. Then if E_1 has complete diffusion, it contains at least 3 operations because there are four input variables. Suppose E_1 contains 3 operations. The invertibility of the cipher function implies that $E_2 \neq E_1$ and complete diffusion requires that E_2 not equal any intermediate result that appears in E_1 . Thus, at least one operation not appearing in E_1 is required in E_2 . This proves the theorem.

It is easy to check that the MA structure shown in Fig.2, which has four operations, is a cipher function with complete diffusion, i.e., that each of the output variables depends non-idly on all four input variables.

Appendix B: C-program of the Cipher and Sample Data

```
/* C - program blockcipher */

# define maxim 65537

# define fuyi 65536

# define one 65535

# define round 8

void cip(unsigned IN[5], unsigned OUT[5], unsigned Z[7][10]);

void key( short unsigned uskey[9], unsigned Z[7][10]);

void de_key(unsigned Z[7][10], unsigned DK[7][10]);

unsigned inv(unsigned xin);
unsigned mul(unsigned a, unsigned b);
```

```
main()
  unsigned Z[7][10], DK[7][10], XX[5],TT[5], YY[5];
  short unsigned uskey[9];
/*
                to generate encryption key blocks */
        key(uskey,Z);
                 to generate decryption key blocks */
        de_key(Z,DK);
            to encipher plaintext XX to ciphertext YY by key blocks Z */
        cip(XX,YY,Z);
/*
           to decipher ciphertext YY to TT by decryption key blocks DK */
        cip(YY,TT,DK);
}
    /* encryption algorithm */
void cip(unsigned IN[5], unsigned OUT[5], unsigned Z[7][10])
     unsigned r, x1,x2,x3,x4,kk,t1,t2,a;
     x1=IN[1]; x2=IN[2]; x3=IN[3]; x4=IN[4];
     for (r= 1; r<= 8; r++) {
        x1 =mul(x1,Z[1][r]);
        x2 = mul(x2,Z[2][r]);
        x3 = (x3 + Z[3][r]) & one;
        x4 = (x4 + Z[4][r]) & one;
       kk = mul(Z[5][r], (x1^x3));
        t1 = mul(Z[6][r], (kk+ (x2^x4)) & one);
        t2 = (kk + t1) & one;
        a = x1^t1;
                        x1 = x3^t1;
                                         x3 = a;
                        x2 = x4^t2;
        a = x2^t2;
                                         x4 = a;
       }
     OUT[1] = mul(x1,Z[1][round+1]);
     OUT[2] = mul(x2,Z[2][round+1]);
     OUT[3] = (x3 + Z[3][round +1]) & one;
     OUT[4] = (x4 + Z[4][round+1]) & one;
 }
   /* the multiplication */
unsigned mul(unsigned a, unsigned b)
  long int p;
  long unsigned q;
     if (a==0) p = maxim-b;
     else if (b==0) p = maxim-a; else
       { q=a*b; p=( q \& one) - (q>>16); if (p<=0) p= p+maxim; }
  return (unsigned)(p & one);
}
         /* compute multiplication inverse of integer xin
                  by Euclidean gcd algorithm */
unsigned inv(unsigned xin)
     long n1,n2,q,r,b1,b2,t;
     if (xin == 0) b2 = 0;
```

```
else {
         n1=maxim; n2 = xin; b2 = 1; b1 = 0;
              { r = (n1 \% n2); q = (n1-r)/n2;
                 if (r== 0) {if (b2<0) b2 = maxim+b2; }
                 else { n1= n2; n2= r; t = b2; b2= b1- q*b2; b1= t; }
               } while (r != 0);
      }
   return (unsigned)b2;
     /* generate key blocks Z[i][r] from user key uskey */
void key( short unsigned uskey[9], unsigned Z[7][10] )
  short unsigned S[55];
   int i,j,r;
                 /*
                      shifts */
  for (i = 1; i < 9; i++) S[i-1] = uskey[i];
   for (i = 8; i < 55; i++) {
       if ((i+2)\%8 == 0)
                                        /* for S[14],S[22],.. */
        S[i] = (S[i-7] << 9)^(S[i-14] >> 7);
       else if ((i+1)\%8 ==0)
                                        /* for S[15],S[23],.. */
        S[i] = (S[i-15] << 9)^(S[i-14] >> 7);
                                        /* for other S[i]
                                                                */
        S[i] = (S[i-7] << 9)^{(S[i-6] >> 7);
   }
            /* get encryption key blocks
      for (r= 1; r \le round+1; r++) for (j= 1; j \le 7; j++)
                Z[j][r] = S[6*(r-1) + j-1];
}
     /* compute decryption key blocks DK[i][r]
           from encryption key blocks Z[i][r] */
void de_key(unsigned Z[7][10],unsigned DK[7][10])
   int j;
  for (j = 1; j \le \text{round} + 1; j + +) {
        DK[1][round-j+2] = inv(Z[1][j]);
        DK[2][round-j+2] = inv(Z[2][j]);
        DK[3][round-j+2] = (fuyi-Z[3][j]) & one;
        DK[4][round-j+2] = (fuyi-Z[4][j]) & one;
  for (j= 1; j<=round+1; j++)
     { DK[5][round+1-j] = Z[5][j]; DK[6][round+1-j] = Z[6][j];}
```

Some sample data for checking the correctness of implementations are given below. The numbers are 16-bit integers in the decimal form.

```
the eight 16-bit user key subblocks: uskey[i]

1 2 3 4 5 6 7 8
encryption key subblocks Z[i][r]
```

```
Z[1][r] Z[2][r] Z[3][r] Z[4][r] Z[5][r] Z[6][r]
  1-st round
                   1
                          2
                               3
  2-nd round
                   7
                          8
                              1024
                                    1536
                                           2048
                                                  2560
                3072
                       3584
                              4096
                                     512
                                             16
                                                    20
 3-rd round
                  24
                         28
                                32
                                      4
                                              8
  4-th round
 5-th round
               10240
                     12288
                            14336
                                   16384
                                            2048
                                                  4096
 6-th round
                6144
                      8192
                               112
                                      128
                                             16
                                                    32
 7-th round
                  48
                         64
                                80
                                      96
                                             0
                                                  8192
                      24576
 8-th round
               16384
                             32768
                                    40960
                                          49152
                                                 57345
                 128
                        192
                               256
                                      320
 output transf
                           DK[i][r]
decryption key subblocks
  1-st round
             65025 43350 65280 65216
                                          49152 57345
 2-nd round
               65533 21843 32768 24576
                                                  8192
                                              0
 3-rd round
               42326 64513 65456 65440
                                                    32
                                             16
               21835 65529 65424 65408
                                                  4096
 4-th round
                                           2048
               13101 43686 51200 49152
 5-th round
                                              8
                                                    12
 6-th round
               19115 53834 65504 65532
                                             16
 7-th round
               43670 28069 61440 65024
                                           2048
                                                  2560
               18725 57345 64512 64000
                                              5
 8-th round
                   1 32769 65533 65532
 output transf
    plaintext XX
                             0
                                    1
                                          2
 after 1-st round
                           177
                                  202
                                               207
                                        180
 after 2-nd round
                          5054 10696
                                       5085 10583
                         42790 64040
                                      25583
                                             15559
 after 3-rd round
                         16281 58571 61463
                                             33861
 after 4-th round
 after 5-th round
                         62321 51187
                                       1399 59053
 after 6-th round
                         37668
                               1126
                                       6125 42057
                         49700 61227 19644 21245
 after 7-th round
                          2688 12695
 after 8-th round
                                       2372
                                              1339
                         16379 12571
    YY = cip(XX,Z)
                                        2628
                                               1659
TT=cip(YY,DK)
                             0
                                  1
                                          2
                                                 3
```