



## Exercise 10 of November 22, 2017

<http://www.isi.ee.ethz.ch/teaching/courses/it1.html>

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### Problem 1

### *Channel Coding for a “Double”-Channel*

Consider two memoryless channels  $W^{(1)}(y^{(1)}|x)$  and  $W^{(2)}(y^{(2)}|x)$  defined over a common input alphabet  $\mathcal{X}$  and over possibly different output alphabets  $\mathcal{Y}^{(1)}$  and  $\mathcal{Y}^{(2)}$ . Let  $Q$  be some input distribution on  $\mathcal{X}$  and let

$$R < \min\{I(Q, W^{(1)}), I(Q, W^{(2)})\},$$

so that  $R$  is smaller than the mutual information corresponding to the input distribution  $Q$  on each channel. Given any  $\epsilon > 0$ , prove that for sufficiently large blocklength  $n$  there exists a rate- $R$  codebook  $\mathcal{C}$  and two decoders

$$\begin{aligned}\psi^{(1)}: (\mathcal{Y}^{(1)})^n &\rightarrow \{1, \dots, 2^{nR}\} \\ \psi^{(2)}: (\mathcal{Y}^{(2)})^n &\rightarrow \{1, \dots, 2^{nR}\}\end{aligned}$$

such that the maximum error probability is smaller than  $\epsilon$  on both channels.

*Note:* Each channel has a different decoder, but you must show that there exists *one* codebook that is good for both channels.

### Problem 2

### *Zero-Error Capacity*

A channel with alphabet  $\{0, 1, 2, 3, 4\}$  has transition probabilities of the form

$$W(y|x) = \begin{cases} \frac{1}{2} & \text{if } y = x \pm 1 \pmod{5}, \\ 0 & \text{otherwise.} \end{cases}$$

- Compute the capacity of this channel in bits.
- The zero-error capacity of a channel is the number of bits per channel use that can be transmitted with zero probability of error. Clearly, the zero-error capacity of this pentagonal channel is at least 1 bit (transmit 0 or 1 with probability  $\frac{1}{2}$ ). Find a block code that shows that the zero-error capacity is greater than 1 bit.

*Hint:* Consider codes of length 2.

**Problem 3*****An Elementary Converse for the Binary Erasure Channel***

Consider a binary erasure channel with erasure probability  $\rho \in [0, 1]$  together with an encoder and a decoder. Denote the blocklength by  $n$ , the message set by  $\mathcal{M} = \{1, \dots, 2^{nR}\}$ , the channel input sequence by  $X^n$ , the channel output sequence by  $Y^n$ , and the output of the decoder by  $\hat{M}$ . Let the message  $M$  be chosen uniformly at random from  $\mathcal{M}$ . For  $i \in \{1, \dots, n\}$ , define the random variable

$$S_i \triangleq \begin{cases} 1 & \text{if } Y_i = ?, \\ 0 & \text{otherwise.} \end{cases}$$

Define a random variable  $E$  that is one if  $M \neq \hat{M}$  and zero otherwise.

a) Show that for all  $s^n \in \{0, 1\}^n$ ,

$$\Pr[E = 1 | S^n = s^n] \geq \frac{2^{nR} - 2^{n(1-\kappa)}}{2^{nR}},$$

where  $\kappa \triangleq \frac{1}{n} \sum_{i=1}^n s_i$ .

b) Let  $\delta > 0$  be fixed. Compute

$$\lim_{n \rightarrow \infty} \Pr \left[ \frac{1}{n} \sum_{i=1}^n S_i \geq \rho - \delta \right].$$

c) Let  $R > 1 - \rho$  and  $\epsilon > 0$  be fixed. Deduce from Parts a) and b) that for sufficiently large  $n$ ,

$$\Pr[E = 1] \geq 1 - \epsilon.$$

Thus, for sufficiently large  $n$ , the average probability of error is at least  $1 - \epsilon$ .

**Problem 4*****Average Bit-Error Probability***

Consider a combined source-channel coding scheme comprising a DMC of capacity  $C$ , a source that emits IID  $\text{Ber}(1/2)$  bits  $U_1, \dots, U_k$ , an encoder that maps the  $k$  bits to  $n$  channel input symbols  $X_1, \dots, X_n$ , and a decoder that maps the resulting  $n$  channel output symbols  $Y_1, \dots, Y_n$  to an estimate of the source bits  $\hat{U}_1, \dots, \hat{U}_k$ . Suppose that the rate (in bits per channel use) exceeds the capacity of the channel, i.e.,  $k/n > C$ . The task of this problem is to show that

$$\frac{1}{k} \sum_{i=1}^k \Pr[U_i \neq \hat{U}_i] \geq H_b^{-1} \left( 1 - \frac{n}{k} C \right) > 0, \quad (1)$$

where  $H_b^{-1}(\cdot)$  denotes the inverse of the binary entropy function restricted to  $[0, 1/2]$ . In other words, if  $k/n > C$ , then the average bit-error probability cannot be made arbitrarily small.

a) Justify the following steps:

$$\begin{aligned} nC &\stackrel{(i)}{\geq} I(U^k; \hat{U}^k) \\ &\stackrel{(ii)}{=} H(U^k) - H(U^k | \hat{U}^k) \\ &\stackrel{(iii)}{=} k - H(U^k | \hat{U}^k) \end{aligned}$$

$$\begin{aligned}
&\stackrel{\text{(iv)}}{=} k - \sum_{i=1}^k H(U_i | \hat{U}^k, U^{i-1}) \\
&\stackrel{\text{(v)}}{\geq} k - \sum_{i=1}^k H(U_i | \hat{U}_i) \\
&\stackrel{\text{(vi)}}{\geq} k - \sum_{i=1}^k H_b(\Pr[U_i \neq \hat{U}_i]) \\
&= k \left( 1 - \sum_{i=1}^k \frac{1}{k} H_b(\Pr[U_i \neq \hat{U}_i]) \right) \\
&\stackrel{\text{(vii)}}{\geq} k \left( 1 - H_b \left( \sum_{i=1}^k \frac{1}{k} \Pr[U_i \neq \hat{U}_i] \right) \right).
\end{aligned}$$

b) Use Part a) to deduce (1).